## **Quick Sheet**

Remember that  $\log(n)$  is assumed to be of base 2 in Computer Science unless otherwise stated.

- (1) Given fact you can use on exams and assignments:  $1 < \log(n) < n < n^2 < \cdots < n^p$  for n > 3
- (2) Definition of big-O: f(n) is O(g(n)) if and only if  $\exists k, n_0$  st.  $f(n) \le k g(n)$  for  $n > n_0$
- (3) Definition of Induction 1: if a property P holds true for k, and it can be shown that P(n) implies P(n + 1) then for all  $n \ge k P(n)$  holds.
- (4) Definition of Induction 2: show a base case holds true, say P(k) is true. Assume P(n) is true up to some n, using this show P(n + 1) is true. We now have that for all  $n \ge k P(n)$  is true.
- (5) Definition of Induction 3:  $(P(k) and (P(n) \rightarrow P(n+1))) \rightarrow \forall n (n \ge k \rightarrow P(n))$

## Proof of big-O using Induction:

As we can see from the above definitions of induction, it is used to prove a property for all  $n \ge k$ . How are we going to use this to prove  $\exists k, n_o \ st. \ f(n) \le k \ g(n)$  for  $n \ge n_o$ ? Well the definition of big-O has a for all, when we say for  $n \ge n_o$ , we are really saying for all values of n that are bigger then  $n_o$ . This is the part of big-O that benefits from the induction step. This is where the proofs will differ from Math 135, we also want to show  $\exists k, n_o$ , and we will do this by placing restrictions on them throughout the induction proof, as once we are done the induction we can say. If I am given a  $k^*$  and  $n^*$  that satisfy these conditions the above proof of induction will prove  $f(n) \le k^* \ g(n)$  for  $n \ge n^*$ , and since I have value for k and  $n_o$ namely  $k^*$  and  $n^*$  they must exist, therefore  $\exists k, n_o \ st. \ f(n) \le k \ g(n)$  for  $n \ge n_o$  Holds, therefore f(n) is O(g(n)) by definition.

Summary of Steps for Proving f(n) is O(g(n))

1. Remove big-O notation from the question you are answering.

ex. Prove:  $\exists k, n_o \text{ st. } f(n) \leq k g(n) \text{ for } n > n_o$ 

2. Write out the function you are dealing with in terms of constants and recursion.

ex. f(n) = a (for n = 0), f(n) = f(n - 1) + b (for n > 0)

- 3. Base Case: Show  $f(n) \le k g(n)$  holds for some start value of n, likely to work for 0, 1, or 2.
  - a. Keep in mind that you can restrict k > a + b, or any other constants to make it work.
  - b. Also remember that your base case is linked to  $n_o$ , so if you prove it for n = 5 then  $n_o \ge 5$  is the restriction you have to impose.
- 4. Induction Hypothesis: Assume  $f(n) \le k g(n)$
- 5. Induction Conclusion: Show  $f(n + 1) \le k g(n + 1)$ 
  - a. For a proper proof you need exactly this statement, do not change the coefficient, ending up with  $f(n + 1) \le 2k g(n + 1)$  or even  $f(n + 1) \le (k + 1) g(n + 1)$  is incorrect.
  - b. You can, however restrict k to be larger than given constants; don't increase  $n_o$  as it's linked to the base case of the induction.
- 6. Finish off by concluding with a statement that explains if the restrictions you have found are followed, the induction proof is complete, and because you have values for k and  $n_o$  they must exist. Therefore f(n) is O(g(n)).

Tutorial 5: big-O Notation and Proofs by Induction

1.

Prove f(n) is  $O(n^2)$ , where  $f(n) = An^2 + Bnlog(n) + n$ 

ie. Show  $\exists k, n_o f(n) \le kn^2$  for  $n > n_o$ 

$$f(n) = An^{2} + Bnlog(n) + n$$
  

$$An^{2} + Bnlog(n) + n \le An^{2} + Bn(n) + n^{2} \text{ by fact (1), for } n > 3$$
  

$$An^{2} + Bn(n) + n^{2} = (A + B + 1)n^{2}$$

Since,  $f(n) \le kn^2$  for  $n > n_o$  holds if k = A + B + 1 and  $n_o = 3$ 

There must exist k and  $n_o$  because we have values that work.

Therefore,  $\exists k, n_o \ f(n) \le kn^2$  for  $n > n_o$ 

Therefore, f(n) is  $O(n^2)$ 

2.

Prove f(n) is not O(n), where  $f(n) = An^2$ 

Assume it is true, ie. Assume  $\exists k, n_o \ f(n) \le kn$  for  $n > n_o$ 

$$An^2 \le kn$$
  
 $An \le k$   
 $n \le \frac{k}{A}$  there's a contradiction as n can be as large as we want

Pick a  $B > \frac{k}{A}$  and  $B \ge n_o$ , now let n = B

$$B \leq \frac{k}{A}$$

but we picked  $B > \frac{k}{A}$  therefore we have a contradiction, therefore (n) is not O(n).

3.

Prove f(n) is not  $O(\log(n^n))$ , where  $f(n) = An^2$  where A > 0Assume it is true, ie. Assume  $\exists k, n_o \ f(n) \le k \log(n^n)$  for  $n > n_o$ 

$$f(n) = An^{2} \le klog(n^{n})$$
$$An^{2} \le knlog(n)$$
$$An \le klog(n)$$
$$\frac{A}{k} \le \frac{\log(n)}{n}$$

from here we see that there is a contradiction. We can make n as large as we want; therefore, we can make  $\frac{\log (n)}{n}$  as small as we want by picking larger n, and because we are dealing with efficiency this course will always be dealing with positive constants. Therefore we are safe to say k > 0 and A > 0, and hence  $\frac{A}{k} > 0$ , now we need to show this out right.

$$\frac{A}{k} \leq \frac{\log(n)}{n}$$

$$\det B = \frac{A}{k} \text{ and } \det n = B^{B}$$

$$\log(B) \leq B \leq \frac{\log(B^{B})}{B^{B}}$$

$$B^{B} \leq \frac{B \log(B)}{\log(B)}$$

$$B^{B} \leq B$$
Contradiction by fact (1)

Therefore f(n) is not  $O(\log(n^n))$ 

4.

Prove f(n) is O(1), where  $f(n) = \frac{A \log(n)}{n}$ 

ie. Show  $\exists k, n_o f(n) \le k(1)$  for  $n > n_o$ 

$$f(n) = \frac{A \log(n)}{n}$$
$$1 < \log(n) < n \text{ for } n > 3$$
$$\frac{1}{n} < \frac{\log(n)}{n} < 1$$
$$\frac{A \log(n)}{n} \le A (1)$$

Since,  $f(n) \le k(1)$  for  $n > n_o$  holds if k = A and  $n_o = 3$ 

There must exist k and  $n_o$  because we have values that work.

Therefore,  $\exists k, n_o \ f(n) \le k(1)$  for  $n > n_o$ 

Therefore, f(n) is O(1)

The other ones follow easily because  $A(1) < A \frac{\log(n)}{n} < A \log(n) < A n$ 

5.

Find the Error in the reasoning below.

$$O(n^2)$$
 is  $O(n(n-1))$   
 $O(n^2)$  is  $O(n(n-2))$   
:  
 $O(n^2)$  is  $O(n(n-p))$   
:  
 $O(n^2)$  is  $O(n(2))$   
 $O(n^2)$  is  $O(n(1))$   
therefore  $O(n^2)$  is  $O(n)$ .

this reasoning is correct up to  $O(n^2)$  is O(n(n-p)), where p is a constant. The problem after this is that p is not a constant,  $n(n-p) = n(2) \xrightarrow{implies} p = n-2$  here we see that p depends on a variable therefore making the big-O expression change and causing a problem in our logic.

## 6. I'd recommend reading the 'Proof of big-O using Induction' in the quick sheet before proceeding

Prove f(n) is O(n), where f(n) = a (if n = 0) and f(n) = b + f(n-1) (if n > 0)

- 1. Prove  $\exists k, n_o \text{ st. } f(n) \leq kn \text{ for } n > n_o$
- 2.  $f(n) = \begin{cases} a & if \ n = 0 \\ b + f(n-1) & if \ n > 0 \end{cases}$
- 3. Base Case: Try n = 0 here  $n_0 \ge 0$ f(0) = a, want to show  $f(0) \le k(0) = 0$ , but a > 0 (represents a constant amount of work) This doesn't work so try another base case.

Try 
$$n = 1$$
 here  $n_0 \ge 1$   
 $f(1) = b + f(1-1) = b + a$  want to show  $f(1) \le k(1)$ .

This is easy if  $k \ge a + b$  so we impose this restriction.

Therefore base case holds if our restrictions are followed.

- 4. Induction Hypothesis: Assume  $f(n) \le k n$  holds up to some n.
- 5. Induction Conclusion: Show  $f(n + 1) \le k(n + 1)$

f(n+1) = b + f(n)	
$b + f(n) \le b + k n$	by our assumption
$b + k n \le k + kn$	restrict k > b
k + kn = k(n+1)	

Therefore the Induction Conclusion holds if k > b.

6. If I am given a k\* st. k\* > a + b and k\* > b (which is redundant) say k\* = a + b + 1 and a n\* st. n\* ≥ 1 say n\* = 2. The above induction proof concludes that f(n) ≤ k\* n for n > n\*, and because I have values k\* and n\* ∃k, n₀ st. f(n) ≤ kn for n > n₀. Therefore f(n) is O(n).

## 7. I'd recommend reading the 'Proof of big-O using Induction' on the quick sheet before proceeding

Prove T(n) is  $O(n^2)$ , where T(0) and T(1) are O(1), and T(n) = T(n-2) + f(n), where f(n) is O(n).

- 1. Prove  $\exists k, n_o st. T(n) \leq kn^2 f or n > n_o$ Where  $\exists a, n_a st. T(0) \leq a(1) f or n > n_a$  but because a does not include n, it's simply  $\forall n$ . Where  $\exists b, n_b st. T(1) \leq b(1) f or n > n_b$  but because b does not include n, it's simply  $\forall n$ . Where  $\exists k_f, n_f st. f(n) \leq k_f n f or n > n_f$
- 2.  $T(n) = \begin{cases} a & if \ n = 0 \\ b & if \ n = 1 \\ T(n-2) + f(n) & if \ n > 1 \end{cases}$  note how f(n) is left in the equation, this is because we

only have information about f(n) above  $n_f$ , but we'll use this later.

3. Base Case: try n = 2 here  $n_0 \ge 2$   $T(2) = T(2-2) + f(2) = a + f(2) \le a + k_f(2)$ Want  $T(2) \le k2^2 = 4k$ , restrict  $k > k_f$  and k > a then  $a + k_f(2) \le k + 2k < 4k$ 

Therefore  $T(2) < k2^2$  holds with our restrictions.

But we need two base cases because we are stepping down the recursion by two (T(n-2))

try 
$$n = 3$$
  
 $T(3) = T(3-2) + f(3) = b + f(3) \le b + k_f(3)$ 

Want  $T(3) \le k3^2 = 9k$ , restrict  $k > k_f$  and k > b then

$$b + k_f(3) \le k + 3k < 9k$$

Therefore  $T(3) < k3^2$  holds with one more restrictions.

- 7. Induction Hypothesis: Assume  $T(n) \le kn^2$  up to some n.
- 8. Induction Conclusion: Show  $T(n + 1) \le k(n + 1)^2 = kn^2 + 2kn + k$

$$\begin{split} T(n+1) &= T(n-1) + f(n+1) \\ T(n-1) + f(n+1) &\leq k(n-1)^2 + f(n+1) \\ k(n-1)^2 + f(n+1) &\leq k(n-1)^2 + k_f(n+1) \\ k(n-1)^2 + k_f(n+1) &= kn^2 - 2kn + k + k_f n + k_f \end{split}$$
 by Indo Hypo

If we add the restriction that  $k > k_f$  (yes I've done this already) we get.

$$kn^{2} - 2kn + k + k_{f}n + k_{f} \le kn^{2} - 2kn + k + kn + k$$

$$kn^{2} - 2kn + k + kn + k \le kn^{2} - kn + 2k$$

$$kn^{2} - kn + 2k \le kn^{2} - kn + 2kn$$

$$kn^{2} - kn + 2kn \le kn^{2} + kn$$

$$kn^{2} + kn \le kn^{2} + 2kn + k$$

$$kn^{2} + 2kn + k = k(n + 1)^{2}$$

This proves our Induction Conclusion under our restrictions.

9. Therefore if I'm given values  $k^*$  and  $n^*$  that follow the restrictions I've set down, the above induction proves  $T(n) \le k^* n^2$  for  $n > n^*$ . From here it follows that T(n) is  $O(n^2)$